

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/678,363 Confirmation No. 7086  
Applicant : SURESH C. GUPTA ET AL.  
Filed : October 3, 2003  
Title : METHOD AND APPARATUS FOR  
TURBINE/ALTERNATOR ON COMMON SHAFT DURING  
START-UP  
Group Art Unit : 2834  
Examiner : Nicholas Ponomarenko

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

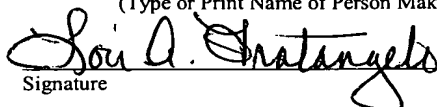
Pursuant to the requirements of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants hereby submit this Information Disclosure Statement, which includes a completed Form PTO/SB/08A.

This application is a division of application No. 10/446,386, which is a continuation of application No. 09/840,572, filed April 23, 2001, which is a continuation of application No. 09/319,390, filed June 1, 1999, which is the United States national phase of International Application No. PCT/US97/22405, filed December 3, 1997 which designated, *inter alia*, the United States, and which claims the benefit of provisional application No. 60/032,149, filed December 3, 1996. The U.S. and EP references listed on Form

I hereby certify that this corresponding is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 28, 2004.

Lori A. Fratangelo

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Signature

5/28/2004  
Date

Application No. 10/678,363  
Paper Dated May 28, 2004  
Attorney Docket No. 3419-031894

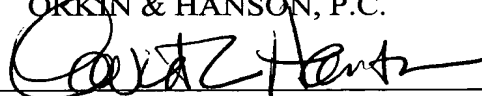
PTO/SB/08A were submitted and cited by either Applicants or Examiner during prosecution of the aforementioned cases.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON

ORKIN & HANSON, P.C.

By

A handwritten signature in black ink, appearing to read "David C. Hanson", is written over a horizontal line.

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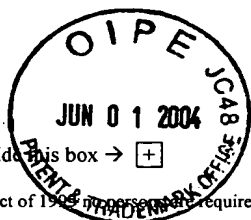
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PTO/SB/08A (08-00)  
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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/678,363
				Filing Date	October 3, 2003
				First Named Inventor	Suresh C. GUPTA
				Group Art Unit	2834
				Examiner Name	Nicholas Ponomarenko
				Attorney Docket Number	3419-031894
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup>			
	1	3,613,360		HOWES, L.D.	10-19-1971	
	2	3,775,663		TURNBULL, Fred G.	11-27-1973	
	3	4,862,009		KING, Robert D.	08-29-1989	
	4	4,992,721		LATOS, Thomas S.	02-12-1991	
	5	5,119,071		TAKEZAWA et al.	06-02-1992	
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	10	5,559,421		MIYAKAWA, Kado	09-24-1996	
	11	5,685,156		WILLIS et al.	11-11-1997	
	12	5,714,851		ANTONY et al.	02-03-1998	
	13	5,903,116		GEIS et al.	05-11-1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
	14	EP	0 742 634 A2		BOSLEY, Robert W.	11-13-1996		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country) where published.		T <sup>2</sup>
	15	YASUO FUJIKAWA, "Transportable Micro Gas Turbine Generator", Shinko Electric Journal, No. 143, Vol. 41, September 20, 1996.		✓

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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